

10/580188

1AP20 Rec'd PCT/PTO 23 MAY 2006

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q94947

Masao KIKUCHI, et al

Appln. No.: National Stage of PCT/JP2005/011011

Confirmation No.: Unknown

Group Art Unit: Unknown

Filed: May 23, 2006

Examiner: Unknown

For: ROTATING ELECTRIC MACHINE WITH BUILT-IN CONTROL DEVICE

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98

MAIL STOP AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

A copy of an International Search Report in a counterpart application is submitted herewith. The USPTO should receive copies of the references cited in the International Search Report from the International Bureau. Applicant will furnish any missing references upon request. Also enclosed are three references listed on the PTO SB/08 A&B form except for the following: U.S. patents.

INFORMATION DISCLOSURE STATEMENT
Appln. No.: National Stage of PCT/JP2005/011011
Attorney Docket No. Q94947

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of a Communication from a foreign patent office in a counterpart application citing such documents, together with an English-language version (if not already included) of at least that portion of the Communication indicating the degree of relevance found by the foreign patent office.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant submits the following explanations: English language abstracts, submitted herewith, constitute concise statements of relevance for Japanese Patent Application Publication Nos. 9-252563, 9-178147, and 2003-225000. In addition JP 9-252563 is cited on page 2 of the specification.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

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Substitute for Form 1449 A & B/PTO <u>INFORMATION DISCLOSURE</u> <u>STATEMENT BY APPLICANT</u> (use as many sheets as necessary)				<i>Complete if Known</i>	
				Application Number	National Stage of PCT/JP2005/011011 188
				Confirmation Number	Unknown
				Filing Date	May 23, 2006
				First Named Inventor	Masao KIKUCHI
				Art Unit	Unknown
				Examiner Name	
Sheet	1	of	1	Attorney Docket Number	Q94947

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² (if known)		
		US 2003/0141854	A1	07/31/2003	MASARU KURIBAYASHI, ET AL
		US 6,977,475	B2	12/20/2005	MASARU KURIBAYASHI, ET AL
		US			
		US			
		US			
		US			
		US			
		US			
		US			

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)			
		JP	2003-348781	A	12/05/2003	MITSUBISHI ELECTRIC CORP.	
		JP	2003-259600	A	09/12/2003	TOYOTA MOTOR CORP.	
		JP	11-234954	A	08/27/1999	TOSHIBA CORP.	
		JP	70469/1994		09/30/1994	SHINDENGEN ELECTRIC MFG. CO., and HONDA MOTOR CO., LTD.	
		JP	2003-116282	A	04/18/2003	HITACHI, LTD.	
		JP	10-234158	A	09/02/1998	TOKYO R & D CO., LTD. and SEIKO EPSON CORP.	
		JP	9-252563	A	09/22/1997	TOKYO SHIBAURA ELECTRIC CO.	Abstract
		JP	9-178147	A	07/11/1997	BABCOCK HITACHI KK	Abstract
		JP	2003-225000	A	08/08/2003	MITSUBISHI ELECTRIC CORP.	Abstract

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.

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